



# Automatic Requirements Analysis, Validation and Verification

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**SIEMENS**



**Innovate UK**



# FES Context

- The Future Engineering System (FES) aims to bring model-based systems engineering (MBSE) to aerospace design projects.
- One area which currently lacks formality is the validation, verification and tracking of aerospace engineering requirements.
- Omissions or ambiguities in requirements may be the cause of errors propagated into the design lifecycle, which are not detected until much later.

# Example

- Given the natural English requirement:  
*“The engine shall produce a maximum thrust no less than 350kN at sea level.”*
- Some entities and properties are identifiable:
  - Entity “engine”
  - Property “thrust”
  - Unit “kilonewton”

# Constraints

- Some constraints are explicit: *“thrust no less than 350kN”*  
⇒ thrust  $\geq$  350 kN
- Some constraints are implicit: *“at sea level”*  
⇒ altitude(engine, sea-level) → ...
- Some constraints are ambiguous or hidden:  
*“maximum thrust”*  
? ⇒ thrust  $\leq$  350 kN  
? ⇒ throttle(engine, maximum) → ...

# Natural Language

- Natural language is notoriously difficult to analyse:
  - ⇒ lexical, syntactic, semantic ambiguity
  - ⇒ co-reference resolution, implicit knowledge
- Three possible approaches
  - Full linguistic analysis (POS-tagging, syntax parsing, named entity resolution, dependency analysis...)
  - Restricted syntax languages (Attempto Controlled English, ASD Simplified Technical English, ...)
  - Form-driven template filling (syntax-directed editors)

# Restricted Syntax

- EARS (Easy Approach to Requirements Syntax) originally proposed by Rolls-Royce
- Used successfully to rewrite requirements from the Certification Specification for Engines (EASA, 2007)
- OARS (Operational Approach to Requirements Specification) developed during FES
- Used to write requirements containing operational constraints, to be used later during testing

# EARS Templates

EARS (Mavin, et al., 2009; Mavin & Wilkinson, 2010) recommends 5 template styles:

Requirement ::= Ubiquitous | Event-Driven | Fault-Handling  
| State-Driven | Optional-Feature

Ubiquitous ::= “THE” System “SHALL” Response

Event-Driven ::= “WHEN” Trigger-Condition, Requirement

Fault-Handling ::= “IF” Fault-Condition “THEN” Requirement

State-Driven ::= “WHILE” State-Condition, Requirement

Optional-Feature ::= “WHERE” Feature-Present, Requirement

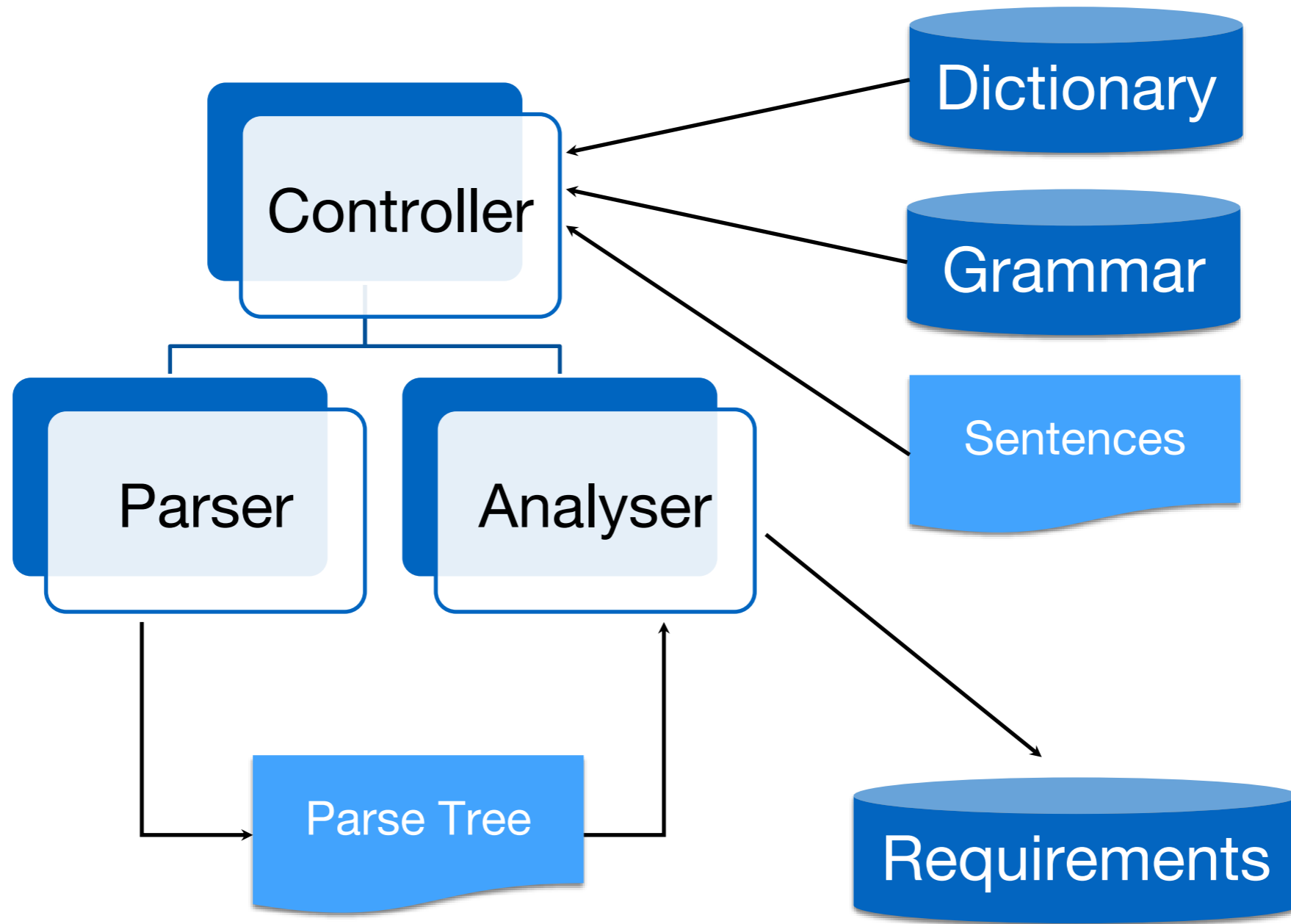
# EARS Applied

Original CS-E 50 text	Simplified EARS format	Requirement type
<p>“It must be substantiated by tests, analysis or a combination thereof that the Engine Control System performs the intended functions in a manner which does not create unacceptable thrust or power oscillations.”</p>	<p>“THE Engine Control System SHALL not cause unacceptable thrust or power oscillations.”</p>	<p>Ubiquitous</p>
<p>“It must be demonstrated that, when a Fault or Failure results in a change from one Control Mode to another, or from one channel to another, or from the Primary System to the Back-up System, the change occurs so that the Engine does not exceed any of its operating limitations.”</p>	<p>“WHEN the Engine Control System changes Operational Mode, THE Engine Control System SHALL maintain the engine within approved operational limits.”</p>	<p>Event-Driven</p>
<p>“Single Failures leading to loss, interruption or corruption of Aircraft-Supplied Data must not result in a Hazardous Engine Effect for any Engine.”</p>	<p>“IF a single Failure leads to deficient Aircraft-Supplied Data, THEN the Engine Control System SHALL not cause a Hazardous Engine Effect.”</p>	<p>Fault-Handling</p>

(Mavin, et al., 2009)



# OARS Parser/Analyser



# OARS Details

- OARS sentences have a single main clause (with adjuncts), expressing an *operational constraint*
- Parser: is an active chart parser (Earley, 1970) that finds all ranked parses
  - Uses a bespoke grammar of OARS sentences
  - Uses a bespoke dictionary of aerospace and physics terms
- Analyser: is a rule-based model transformation based on ReMoDeL (Simons, 2010)
  - Uses a bespoke set of parse tree to logical predicate transformation rules

# OARS Sentences

Assumption: ubiquitous, with operational constraint

- The propulsion system life shall have a minimum time between overhaul of 15000 hours **under nominal operating conditions**.
- The **total** propulsion system **weight** shall be no greater than 6000kg.
- The propulsion system unit cost of production shall be less than \$10,000,000.
- The propulsion system shall have a **maximum thrust** no less than 350kN **at sea level**.
- The propulsion system shall have an **overall efficiency** of no less than 90%.
- The thrust specific fuel consumption of the propulsion system shall be greater than 15lb/(h.lbf)
- The propulsion system noise shall be less than 90dB **at a distance of 1000ft from a ground observer at maximum thrust**.
- The propulsion system NOx emissions shall be less than 30g/kN.

# EARS Analysis

Original sentences	Revised EARS format
“The propulsion system life shall have a minimum time between overhaul of 15000 hours under nominal operating conditions.”	“ <b>WHILE operating under nominal conditions</b> , THE propulsion system life SHALL have a minimum time between overhaul of 15000 hours.”
“The propulsion system shall have a maximum thrust no less than 350kN at sea level.”	“ <b>WHILE operating at sea-level, WHILE maximum thrust is being applied</b> , THE propulsion system SHALL have a thrust no less than 350kN.”
“The propulsion system noise shall be less than 90dB at a distance of 1000ft from a ground observer at maximum thrust.”	“ <b>WHILE operating at ground-level, WHILE measured at a distance of 1000ft, WHILE maximum thrust is being applied</b> , THE propulsion system noise SHALL be less than 90dB.”

- OARS sentences violate EARS ubiquitous template
- Actually, contain concealed state-driven triggers
- OARS Parser/Analyser is robust enough to handle this

# OARS Requirement

The image shows a browser window displaying an XML document. The XML content is as follows:

```
<SimpleRequirement id="35" name="RQB432CDD9" alert="green">  
  <Subject ref="4" name="PropulsionSystem" />  
  <Attribution id="36" name="hasThrust">  
    <Property id="37" name="thrust" type="Kilonewton" domain="Force" />  
    <Implication id="38" name="implies">  
      <Precondition id="39" name="and">  
        <Restriction id="40" name="atMaximumThrust" domain="Operation" />  
        <Restriction id="41" name="atSeaLevel" domain="Measurement" />  
      </Precondition>  
      <Comparison id="42" name="notLessThan">  
        <Property ref="37" name="thrust" />  
        <Quantity id="43" value="350" type="Kilonewton" domain="Force" />  
        <Unit id="44" name="Kilonewton" symbol="kN" domain="Force" />  
      </Comparison>  
    </Implication>  
  </Attribution>  
</SimpleRequirement>
```

Callout boxes on the right side of the image provide the following explanations:

- propulsion system subject
- has a thrust property
- conditional constraint: if...
- operate at maximum thrust...
- and measure at sea level...
- ...then apply constraint
- check physics domain

# Logical Meaning

- Given the natural English requirement:

*“The propulsion system shall produce a maximum thrust no less than 350kN at sea level.”*

- OARS Parser/Analyser understands the following:

Requirement RQB432CDD9 [green alert]:

THE subject: PropulsionSystem

SHALL HAVE a property: thrust, of the type: Kilonewton

SUCH THAT

IF Operation is atMaximumThrust

AND Measurement is atSeaLevel

THEN the thrust SHALL BE notLessThan 350 Kilonewton

# More Sentences

- The aircraft will be a small personal **or** short haul aircraft.
- The average flight time will not exceed [x] hours.
- The maximum altitude will not exceed [y] feet.
- The aircraft shall sustain a climb-rate of [x] f/s for a minimum duration of [y] minutes.
- The time between landing **and** next take-off should be no more than 2 hours.
- The aircraft shall be all-electric **with** a storage energy source.

no operational constraint

missing subject

missing subject

non-numeric values

new interval syntax

relational requirement

multiple requirements

# Pathological!

- The aircraft requires 50kN of thrust at take-off **and** 25kN of thrust at cruise.  
multiple requirements  
missing subject
- Take-off thrust SHALL be maintainable for a maximum period of 2 minutes.  
passive voice
- The total mass of the propulsion system must be no more than 1500Kg.  
clashing type domains
- The lift of the system must exceed 5000 flight hours.  
missing property
- The system must not exceed 15m<sup>3</sup>.
- The total cost of the system will not exceed £10,000,000 through-life.  
generic subject term



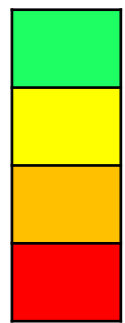
# Challenges

- Weasel-words **and, or, with** hide multiple requirements
  - ⇒ need to deal with conjunction, disjunction, intervals
  - ⇒ need to decompose non-atomic requirements
- Modal constraints **for X minutes, at Y distance** conceal nested recursive requirements
  - ⇒ need to deal with time, space preconditions
  - ⇒ need nested predicate structure, modal logic
- New styles of requirement without explicit operational constraints **shall be an X, shall use a Y**
  - ⇒ need to deal with complements, relationships
  - ⇒ need different kinds of predicate (not just attribution)

# Improvements

- Grammar *misrules* to recognise badly-formed sentences; parses ranked by quality
- Resolution of *and/or* coordination structures
- Predicates: attribution, relationship, complement; temporal/spatial quantifiers

- Warning alerts using traffic-light scheme:



green  $\Rightarrow$  requirement is healthy

yellow  $\Rightarrow$  breaks conventions, but is fixable

orange  $\Rightarrow$  missing info, guess at risk of error

red  $\Rightarrow$  broken beyond ability to repair

# Outputs - 1

The image shows a screenshot of a web browser displaying an XML document. The browser's address bar shows the file path: `file:///C:/Users/Tony/Documents/Research/FES%20Project/C`. The XML content is as follows:

```
<?xml version="1.0" encoding="ISO-8859-1"?>
<!DOCTYPE ComplexRequirement SYSTEM "dtd/Requirement.dtd" PUBLIC "-//AJHS//DTD Requirement 1.0//EN">
- <ComplexRequirement id="1" alert="red" name="RQ2F273EB1" xmlns="uk.ac.sheffield.oars.model">
  <Warning id="2" alert="orange">refer to: RQ2F6E42C8</Warning>
  <Warning id="3" alert="yellow">multiple subjects</Warning>
  <Warning id="4" alert="orange">refer to: RQ2F36C980</Warning>
  <Warning id="5" alert="red">refer to: RQ2F4EB3BE</Warning>
  <Warning id="6" alert="orange">refer to: RQ2E0378A0</Warning>
  <Warning id="7" alert="yellow">refer to: RQ2E0A5C96</Warning>
  <Warning id="8" alert="yellow">refer to: RQ2F213268</Warning>
  <Warning id="9" alert="orange">refer to: RQ2F114141</Warning>
  <Warning id="10" alert="red">refer to: RQ2F6FEDF0</Warning>
  <Warning id="11" alert="orange">generic subject</Warning>
  <Warning id="12" alert="orange">refer to: RQ2F24A5F4</Warning>
  <Warning id="13" alert="orange">refer to: RQ2EE1934C</Warning>
  <Subject id="14" name="Aircraft"/>
  <Subject id="15" name="Unknown"/>
  <Subject id="16" name="PropulsionSystem"/>
  <Subject id="17" name="System"/>
- <SimpleRequirement id="18" alert="green" name="RQ2F4662A5">
  <Subject name="Aircraft" ref="14"/>
  - <Disjunction id="19" name="or">
    - <Complement id="20" name="isKindOf">
      <Entity id="21" name="Aircraft"/>
      <Restriction id="22" name="smallPersonal" domain="Description"/>
    </Complement>
    - <Complement id="23" name="isKindOf">
      <Entity id="24" name="Aircraft"/>
      <Restriction id="25" name="smallShortHaul" domain="Description"/>
    </Complement>
  </Disjunction>
</SimpleRequirement>
</ComplexRequirement>
```

Annotations on the right side of the image point to specific elements in the XML:

- sets of requirements**: Points to the root `<ComplexRequirement id="1"...` element.
- multiple subject alert**: Points to the `<Warning id="3" alert="yellow">multiple subjects</Warning>` element.
- multiple subjects**: Points to the `<Warning id="11" alert="orange">generic subject</Warning>` element.
- disjunction handled**: Points to the `<Disjunction id="19" name="or">` element.
- classifying complement**: Points to the `<Complement id="20" name="isKindOf">` element.

# Outputs - 2

```
file:///C:/Users/Tony/Documents/Research/FES%20Project/C
- <SimpleRequirement id="26" alert="orange" name="RQ2F6E42C8">
  <Warning id="27" alert="orange">missing subject</Warning>
  <Subject name="Unknown" ref="15"/>
  - <Attribution id="28" name="hasFlightTime">
    <Property id="29" name="flightTime" domain="Time" type="Hour"/>
    - <Implication id="30" name="implies">
      - <Precondition id="31" name="and">
        <Restriction id="32" name="usingAverageFlightTime" domain="Measurement"/>
      </Precondition>
      - <Comparison id="33" name="notMoreThan">
        <Property name="flightTime" ref="29"/>
        <Quantity id="34" domain="Time" type="Hour" value="2"/>
        <Unit id="35" name="Hour" domain="Time" symbol="h"/>
      </Comparison>
    </Implication>
  </Attribution>
</SimpleRequirement>
- <SimpleRequirement id="36" alert="orange" name="RQ2F36C980">
  <Warning id="37" alert="orange">missing subject</Warning>
  <Subject name="Unknown" ref="15"/>
  - <Attribution id="38" name="hasAltitude">
    <Property id="39" name="altitude" domain="Distance" type="Foot"/>
    - <Implication id="40" name="implies">
      - <Precondition id="41" name="and">
        <Restriction id="42" name="atMaximumAltitude" domain="Operation"/>
      </Precondition>
      - <Comparison id="43" name="notMoreThan">
        <Property name="altitude" ref="39"/>
        <Quantity id="44" domain="Distance" type="Foot" value="15000"/>
        <Unit id="45" name="Foot" domain="Distance" symbol="ft"/>
      </Comparison>
    </Implication>
  </Attribution>
</SimpleRequirement>
```

missing subject alert

measurement precondition

operational constraint

operational precondition

# Outputs - 3

The image shows a screenshot of a text editor window displaying XML code. The code is a list of requirements and constraints. Several elements are highlighted with blue callout boxes pointing to them:

- non-numeric quantity alert**: Points to the warning element `<Warning id="47" alert="red">parametric quantity</Warning>`.
- temporal mode quantifier**: Points to the comparison element `<Comparison id="51" name="notLessThan">`.
- temporal constraint**: Points to the comparison element `<Comparison id="55" name="equals">`.
- nested operational constraint**: Points to the attribution element `<Attribution id="60" name="hasTimeBetweenLandingAndNextTakeOff">`.
- interval resolution**: Points to the interval element `<Interval id="61" name="timeBetweenLandingAndNextTakeOff" domain="Time" type="Hour">`.

```
- <SimpleRequirement id="46" alert="red" name="RQ2F4EB3BE">
  <Warning id="47" alert="red">parametric quantity</Warning>
  <Subject name="Aircraft" ref="14"/>
  - <Attribution id="48" name="hasClimbRate">
    <Property id="49" name="climbRate" domain="Velocity" type="FootPerSecond"/>
    - <TemporalMode id="50" name="forMinimumDuration" domain="Measurement">
      - <Comparison id="51" name="notLessThan">
        <Property id="52" name="duration" domain="Time" type="Minute"/>
        <Quantity id="53" domain="Time" type="Minute" value="[y]"/>
        <Unit id="54" name="Minute" domain="Time" symbol="min"/>
      </Comparison>
      - <Comparison id="55" name="equals">
        <Property name="climbRate" ref="49"/>
        <Quantity id="56" domain="Velocity" type="FootPerSecond" value="[y]"/>
        <Unit id="57" name="FootPerSecond" domain="Velocity" symbol="ft/s"/>
      </Comparison>
    </TemporalMode>
  </Attribution>
</SimpleRequirement>
- <SimpleRequirement id="58" alert="orange" name="RQ2E0378A0">
  <Warning id="59" alert="orange">missing subject</Warning>
  <Subject name="Unknown" ref="15"/>
  - <Attribution id="60" name="hasTimeBetweenLandingAndNextTakeOff">
    - <Interval id="61" name="timeBetweenLandingAndNextTakeOff" domain="Time" type="Hour">
      <Entity id="62" name="Landing"/>
      <Entity id="63" name="TakeOff"/>
    </Interval>
    - <Comparison id="64" name="notMoreThan">
      <Interval name="timeBetweenLandingAndNextTakeOff" ref="61"/>
      <Quantity id="65" domain="Time" type="Hour" value="2"/>
      <Unit id="66" name="Hour" domain="Time" symbol="h"/>
    </Comparison>
  </Attribution>
</SimpleRequirement>
```

# Outputs - 4

```
file:///C:/Users/Tony/Documents/Research/FES%20Project/C
- <SimpleRequirement id="67" alert="yellow" name="RQ2E0A5C96">
  <Warning id="68" alert="yellow">multiple requirements</Warning>
  <Subject name="Aircraft" ref="14"/>
  - <Conjunction id="69" name="and">
    - <Complement id="70" name="isLike">
      <Restriction id="71" name="allElectric" domain="Description"/>
    </Complement>
    - <Relationship id="72" name="hasStorageEnergySource">
      <Entity id="73" name="StorageEnergySource"/>
    </Relationship>
  </Conjunction>
</SimpleRequirement>
- <SimpleRequirement id="74" alert="yellow" name="RQ2F213268">
  <Warning id="75" alert="yellow">multiple requirements</Warning>
  <Subject name="Aircraft" ref="14"/>
  - <Conjunction id="76" name="and">
    - <Attribution id="77" name="hasThrust">
      <Property id="78" name="thrust" domain="Force" type="Kilonewton"/>
    - <Implication id="79" name="implies">
      - <Precondition id="80" name="and">
        <Restriction id="81" name="atTakeOff" domain="Measurement"/>
      </Precondition>
      - <Comparison id="82" name="equals">
        <Property name="thrust" ref="78"/>
        <Quantity id="83" domain="Force" type="Kilonewton" value="1000000"/>
        <Unit id="84" name="Kilonewton" domain="Force" symbol="kN"/>
      </Comparison>
    </Implication>
  </Attribution>
  - <Attribution id="85" name="hasThrust">
    <Property id="86" name="thrust" domain="Force" type="Kilonewton"/>
```

implicit conjunction "with"

descriptive complement

relational assertion

conjunction handled

precondition resolution

# Outputs - 5

```
file:///C:/Users/Tony/Documents/Research/FES%20Project/C
- <SimpleRequirement id="112" alert="red" name="RQ2F6FEDF0">
  <Warning id="113" alert="orange">generic subject</Warning>
  <Warning id="114" alert="red">inconsistent domains</Warning>
  <Warning id="115" alert="red">inconsistent types</Warning>
  <Subject name="System" ref="17"/>
- <Attribution id="116" name="hasLift">
  <Property id="117" name="lift" domain="Force" type="Unknown"/>
  - <Comparison id="118" name="moreThan">
    <Property name="lift" ref="117"/>
    <Quantity id="119" domain="Time" type="FlightHour" value="5000"/>
    <Unit id="120" name="FlightHour" domain="Time" symbol="h"/>
  </Comparison>
</Attribution>
</SimpleRequirement>
- <SimpleRequirement id="121" alert="orange" name="RQ2F24A5F4">
  <Warning id="122" alert="orange">generic subject</Warning>
  <Warning id="123" alert="orange">missing property</Warning>
  <Subject name="System" ref="17"/>
- <Attribution id="124" name="unknown">
  <Property id="125" name="unknown" domain="Volume" type="CubicM
  - <Comparison id="126" name="notMoreThan">
    <Property name="unknown" ref="125"/>
    <Quantity id="127" domain="Volume" type="CubicMetre" value="15"/>
    <Unit id="128" name="CubicMetre" domain="Volume" symbol="m3"/>
  </Comparison>
</Attribution>
</SimpleRequirement>
- <SimpleRequirement id="129" alert="orange" name="RQ2EE1934C">
  <Warning id="130" alert="orange">generic subject</Warning>
  <Subject name="System" ref="17"/>
  <Attribution id="131" name="hasCent">
```

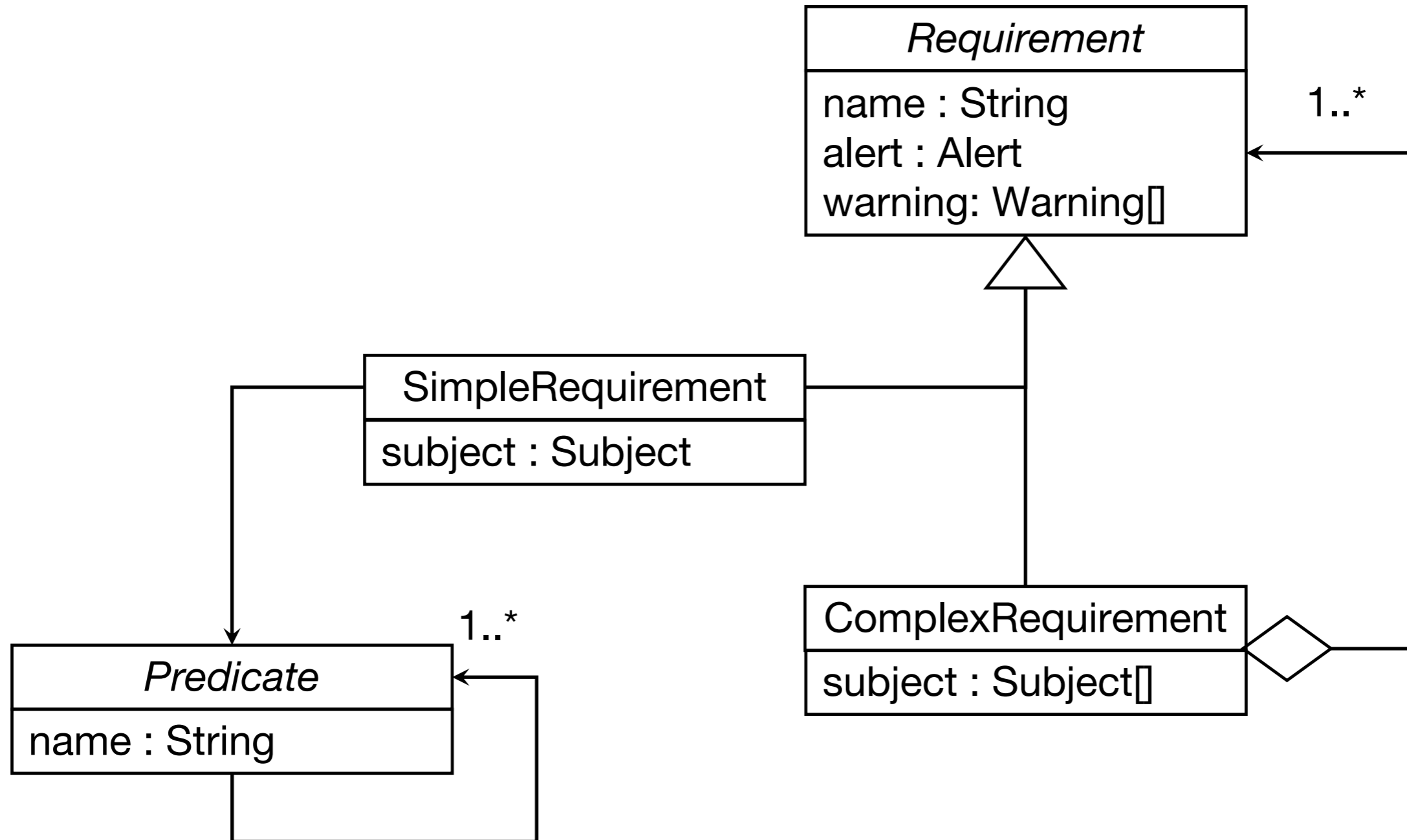
clash of physics domains

inconsistent types alert

missing property alert

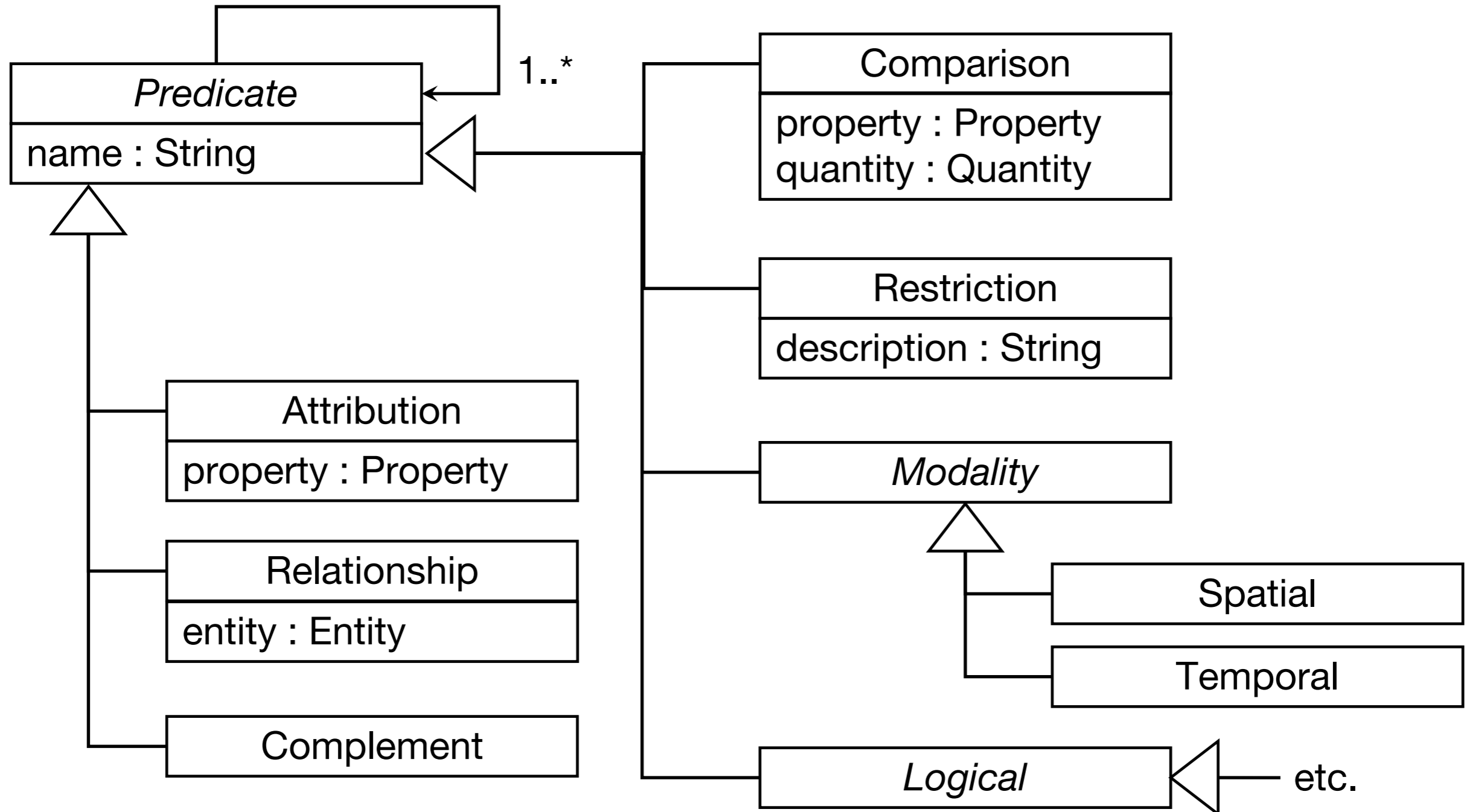
generic subject alert

# Requirement Model

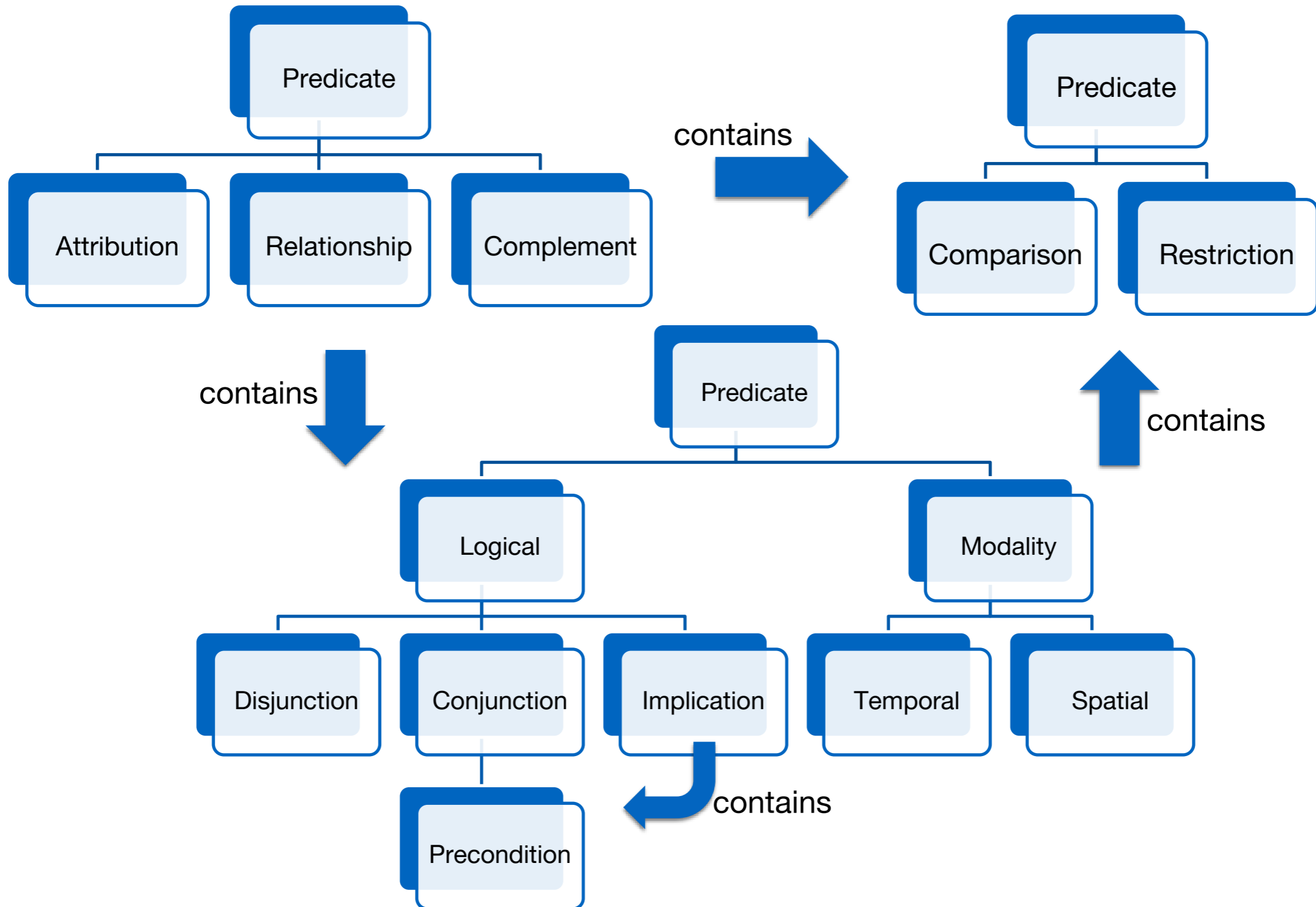




# Predicate Model



# Combinations



# Feedback

- Possible to reflect back to the engineer
  - ⇒ regenerate English from the requirement
  - ⇒ reflects the captured logical meaning
  - ⇒ is this what the engineer really intended?
- Possible to repair certain kinds of fault
  - ⇒ split up non-atomic requirements
  - ⇒ group sets of requirements by subject
  - ⇒ fill in missing subject, property (?)

# Validation

Requirement RQ4E5B74F8 [yellow alert]:

Warnings: {multiple requirements}

THE subject: Aircraft

SHALL HAVE a property: thrust, of the type: Kilonewton

SUCH THAT

IF Measurement is atTakeOff

THEN the thrust SHALL BE **exactly equal** to 30

did the engineer mean?

Kilonewton

AND

SHALL HAVE a property: thrust, of the type: Kilonewton

SUCH THAT

IF Measurement is atCruise

THEN the thrust SHALL BE **exactly equal** to 25

did the engineer mean?

Kilonewton

# Repair

Requirement RQ52286B26 [orange alert]:

Warnings: {generic subject, **missing property**}

THE subject: System

SHALL HAVE a property: **unknown**, of the type:

CubicMetre

SUCH THAT the **unknown**

SHALL BE notMoreThan 15 CubicMetre

m<sup>3</sup> from volume domain

Requirement RQ52286B26 [orange alert]:

Warnings: {generic subject}

THE subject: System

SHALL HAVE a property: **volume**, of the type: CubicMetre

SUCH THAT the **volume**

SHALL BE notMoreThan 15 CubicMetre

suggest name *volume*

# Test Scripts

Test Schedule	
Test Artefact	Propulsion System
Property Under Test	thrust
Measurement Unit	Kilonewton
Test Procedure	<ul style="list-style-type: none"><li>• Set up test artefact and measuring equipment at <i>sea-level</i></li><li>• Operate test artefact at setting: <i>maximum thrust</i></li><li>• Measure the thrust obtained under these conditions</li></ul>
Test Objective	thrust $\geq$ 350kN

schedule for engineer

Test Schedule	
Test Artefact	Propulsion System
Property Under Test	noise
Measurement Unit	Decibels
Test Procedure	<ul style="list-style-type: none"><li>• Set up test artefact and measuring equipment at <i>ground-level</i></li><li>• Set up measuring equipment at 1000ft from the test artefact</li><li>• Operate test artefact at setting: <i>maximum thrust</i></li><li>• Measure the noise obtained under these conditions</li></ul>
Test Objective	noise $<$ 90dB

test physical artefact

# Model Tests

```
import org.junit.*;
import static org.junit.Assert.*;

public class PropulsionTestSuite {

    private PropulsionSystem subject;

    public PropulsionTestSuite(PropulsionSystem subject) {
        this.subject = subject;
    }

    @Before public void resetSubject() {
        subject.reset();
    }

    @Test public void testThrustLevel() {
        subject.setMeasurementState(Altitude.SEA_LEVEL);
        subject.setOperatingState(Throttle.MAXIMUM_THRUST);
        int thrust = subject.getThrust(Force.KILONEWTON);
        assertTrue("thrust not less than 350kN", thrust >= 350);
    }

    @Test public void testNoiseLevel() {
        subject.setMeasurementState(Altitude.GROUND_LEVEL);
        subject.setMeasurementDistance(Distance.FEET, 1000);
        subject.setOperatingState(Throttle.MAXIMUM_THRUST);
        int noise = subject.getNoise(SoundPressure.DECIBEL);
        assertTrue("noise less than 90dB", noise < 90);
    }
}
```

generated test code

test model artefact

# Partner Integration

