

# Monads

Monad  $(M, \eta, \mu)$  is functor  $M: \mathcal{C} \rightarrow \mathcal{C}$ , multiplication  $\mu: MM \rightarrow M$  and unit  $\eta: 1 \rightarrow M$  such that

$$\begin{array}{ccc}
 MM & \xrightarrow{\mu} & M \\
 \mu \downarrow & & \downarrow \mu \\
 MM & \xrightarrow{\mu} & M
 \end{array}
 \qquad
 \begin{array}{ccc}
 1M & \xrightarrow{\eta} & MM & \xleftarrow{M} & M1 \\
 & \searrow & \downarrow & \swarrow & \\
 & & M & & 
 \end{array}$$

Eg lists (List, single, concat)

Eg option (Maybe, Just,  $\mu$ ) where...

Eg state  $(- \times S)^S, \eta, \mu$  where...

Eg free  $(\mu X. - + FX), \text{var}, \text{sub}$  where...

Useful for expressing effects in FP.

Kleisli category  $\mathcal{C}_M$  has same objects as  $\mathcal{C}$ , arrows  $\mathcal{C}_M(A, B) = \mathcal{C}(A, MB)$ , identity  $\text{id}_A = \eta_A \in \mathcal{C}_M(A, A)$ , composition  $g \circ f = \mu \circ M g \circ f$ .

Adjunction  $(\hat{-}) \dashv U$  where

$$\hat{A} = A, (f: \hat{A} \rightarrow \hat{B}) = \eta_B \circ f$$

$$U A = M A, U(g: \hat{A} \rightarrow \hat{B}) = \mu_B \circ M g$$

$$\begin{array}{ccc}
 \mathcal{C}_M & \xleftarrow{\eta} & \mathcal{C} \\
 & \perp & \\
 & \xrightarrow{U} & 
 \end{array}$$

Adjoints  $L \dashv U: \mathcal{C}_M(\hat{A}, \hat{B}) = \mathcal{C}(A, UB): [\_ \dashv \_]$  identities

$$\text{Unit } \eta_A = L \text{id}_{\hat{A}} = \eta_A \quad \text{counit } \epsilon_B = U \text{id}_{\hat{B}} = \text{id}_{MB}$$

$$U \hat{f} = M f - U \hat{A} = M A, U \hat{g} = M g. \text{ Indeed,}$$

$L \dashv R \Rightarrow (RL, \eta, \mu)$  a monad. (converse?)

Monads on preorders are closure ops.

Lifting  $F: \mathcal{C} \rightarrow \mathcal{C}$  to  $F_m: \mathcal{C}_m \rightarrow \mathcal{C}_m$ .

Try  $F_m A = FA$ . Then  $F_m(f: A \rightarrow_m B)$

$: F_m A \rightarrow_m F_m B = FA \rightarrow_m FB$ . Now  $Ff: FA \rightarrow_m FB$

so want distributive law  $\delta: FM \rightarrow MF$

in  $\mathcal{C}$ ; then define  $F_m f = [\delta_B \circ Ff]$ .

Functor laws: identity

$$F_m(\text{id}_A: A \rightarrow_m A) = \delta_A \circ F\eta_A \quad \left. \vphantom{\delta_A \circ F\eta_A} \right\} \begin{array}{l} \text{should} \\ \text{agree} \end{array}$$

$$\text{id}_{F_m A} = \eta_{FA}$$

and composition of  $f: A \rightarrow_m B$  and  $g: B \rightarrow_m C$

$$F_m(g \circ f) = \delta_C \circ F\mu_C \circ FMg \circ Ff$$

$$F_m g \circ F_m f = \mu_C \circ M(\delta_C \circ Fg) \circ \delta_B \circ Ff$$
$$= \mu_C \circ M\delta_C \circ \delta_C \circ FMg \circ Ff$$

A distributive law of  $F$  over  $M$  is  $\delta: FM \rightarrow MF$

st  $\delta \circ F\eta = \eta$

$$\delta \circ F\mu = \mu \circ M\delta \circ \delta$$

(sometimes called "M over F" ...).

Then define  $\hat{F}$  to be  $F_m$ .

F-algebras lift too. By defn  $F_m\text{-Alg}(E_m)$  has objects  $(A, a: F_m A \rightarrow_m A)$ , arrows  $h: A \rightarrow_m B$  st  $h \circ a = b \circ F_m h$ .

When  $F_m = \hat{F}$  a lifting, everything else lifts.

$$(A, a) \in F\text{-Alg}(E) \Rightarrow (\hat{A}, \hat{a}: \hat{F}\hat{A} \rightarrow_m \hat{A}) = (A, \eta_A \circ a: FA \rightarrow MA) \in \hat{F}\text{-Alg}(E_m).$$

$$h: (A, a) \rightarrow (B, b) \Rightarrow \hat{h}: \hat{A} \rightarrow_m \hat{B} \text{ and } \hat{h} \circ \hat{a} = \hat{h} \circ \eta_A \circ a = b \circ \eta_B \circ h = \hat{b} \circ \hat{F}\hat{h} = \hat{b} \circ \hat{F}\hat{h}$$

Moreover, lifting respects identity comp. So  $\hat{(-)}$  a functor  $F\text{-Alg}(E) \rightarrow \hat{F}\text{-Alg}(E_m)$ .

Forgetful functor

$$V: \hat{F}\text{-Alg}(E_m) \rightarrow F\text{-Alg}(E) \quad \begin{array}{ccc} & \hat{F}\text{-Alg}(E_m) & \xleftarrow{\hat{(-)}} \\ & & \perp \\ & & F\text{-Alg}(E) \end{array}$$

$F\text{-Alg}(E)$  given by

$$V(A, a: \hat{F}\hat{A} \rightarrow_m A) = (MA, \mu \circ Ma \circ \delta)$$

$$V(h: (A, a) \rightarrow_m (B, b)) = \mu \circ Mh$$

with  $\hat{(-)} \dashv V$ .

Since  $\hat{(-)}$  a left adjoint, preserves initial objects. So if  $(\mu F, In)$  initial in  $F\text{-Alg}(E)$  then  $(\mu \hat{F}, In) = (\mu F, \eta_{\mu F} \circ In)$  initial in  $\hat{F}\text{-Alg}(E_m)$ .

Write  $mfold_A(a: FA \rightarrow_m A): \mu F \rightarrow_m A$ .

$$\text{w/ } h = mfold_A a \Leftrightarrow h \circ (\eta_{\mu F} \circ In) = a \circ \hat{F}h$$

$$\Leftrightarrow h \circ In = \mu_A \circ Ma \circ \delta_A \circ Fh$$

Previous construction requires  
 $\delta: FM \rightarrow MF$  coherent with  $\eta, \mu$ .

Straightforward to define for  $\delta \in F$ :  
constants, sums, compositions.

But two obvious definitions for product  
 $\text{lift} + M2(g)$  flip ( $\text{lift} + M2(\text{flip}(g))$ )  
neither coherent with  $\mu$  in general.

Coherence regained if  $M$  commutative  
(when two product definitions coincide).

Proper  $\delta$  exists for polynomial  $F$   
that don't use "full" products,  
only eg  $(Ax)$ .

If  $\delta$  is not coherent, but still  
right type, can still define  $F_m$  and  
 $m\text{fold}_F$  as before. But  $F_m$  not a  
functor, so we don't get lifting  
or initiality. The universal property  
doesn't hold; in particular, no fusion

$$h \circ m\text{fold}_F a = m\text{fold}_F b$$

$$\Leftarrow h \circ a = b \circ \hat{F}h$$

So monadic folds of limited use.  $\forall$   
They are, however, adjoint folds.  $\exists$