Building Verification Tools with Isabelle Lectures at Midlands Graduate School 2015

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These Lectures

• building tools for program correctness in Isabelle/HOL

- program construction (by transformation/refinement)
- program verification
- principled approach that separates control/data flow
 - algebra for control
 - $_{\triangleright}\,$ set theory for data/memory domain
- $\circ\,$ in detail: simple construction/verification tools for
 - sequential programs
 - local reasoning with separation logic
 - concurrent programs with rely-guarantee method

all tools correct by construction

Principled Approach

algebraintermediate semanticsconcrete semanticscontrol flowabstract data flowconcrete data flowcontrol flow logicintermediate logicverification tool

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Instance

KAT	relational KAT	relational KAT with store
control flow	abstract data flow	concrete data flow
propositional Hoare logic	relational verification conditions	verification conditions based on Hoare logic

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Plan

lectures

- 1. algebraic foundations (from semirings to quantales)
- 2. brief introduction to Isabelle
- 3. construction/verification tools for sequential programs
- 4. extensions to separation logic/rely-guarantee

exercises

depending on interest we could look at

- algebraic reasoning about programs
- o interactive proofs with Isabelle
- verification examples

Algebraic Foundations

– Lecture I –

While-Programs

syntax (regular operations)

- + nondeterministic choice
- sequential composition
- * finite iteration
- 0 failure/abort
- 1 skip

abstract semantics

- regular expressions $t ::= 0 \mid 1 \mid a \in \Sigma \mid t + t \mid t \cdot t \mid t^*$
- Kleene algebra $(K, +, \cdot, 0, 1, *)$

Kleene algebra is algebra of regular expressions

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Dioids

definition

• a dioid (idempotent semiring) is a structure $(S, +, \cdot, 0, 1)$ where

- \triangleright (S, +, 0) is a semilattice with least element 0
- \triangleright (S, \cdot , 1) is a monoid
- Multiplication distributes over addition
- zero is left/right annihilator

$$x + (y + z) = (x + y) + z \qquad x + y = y + x \qquad x + 0 = x \qquad x + x = x$$
$$x(yz) = (xy)z \qquad x1 = x \qquad 1x = x$$
$$x(y + z) = xy + xz \qquad (x + y)z = xz + yz$$
$$x0 = 0 \qquad 0x = 0$$

Dioids

natural order

- (S, +) is semilattice with partial order $x \le y \Leftrightarrow x + y = y$
- regular operations preserve order (e.g. $x \le y \Rightarrow z + x \le z + y$)
- 0 is least element

opposition

• map $\partial : S \to S$ swaps order of multiplication (see Roy's lectures)

 $\partial(0) = 0 \quad \partial(1) = 1 \quad \partial(x+y) = \partial(x) + \partial(y) \quad \partial(x \cdot y) = \partial(y) \cdot \partial(x)$

• $\partial(S)$ is again a dioid—the opposite dioid

Dioids

free dioids

- are isomorphic to sets of words (languages)
- o distributivity laws yield normal forms
 - (x + y)z = xz + yz yields trees
 - x(y+z) = xy + xz pushes +-nodes towards root

free algebras/objects are discussed in detail in Roy's lectures

Kleene Algebras

definition

a Kleene algebra is a dioid expanded by star operation that satisfies

 $\begin{array}{ll} 1+xx^*\leq x^* & z+xy\leq y \Rightarrow x^*z\leq y\\ 1+x^*x\leq x^* & z+yx\leq y \Rightarrow zx^*\leq y \end{array}$

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intuition

- x^*z is least solution of affine linear inequality $z + xy \le y$
- zx^* is least solution of affine linear inequality $z + yx \le y$

Models of Kleene Algebra

for programming

- binary relations form KAs
- $\circ\,$ our verification tools are based on this model

for proofs

- (regular) languages form KAs
- regular expressions are ground terms in KA signature
- KAs are complete for regular expression equivalence
- variety of KA is decidable via automata (PSPACE-complete)

Language Kleene Algebras

let Σ^* denote free monoid with empty word ε over Σ

definition a language is a subset of Σ^*

theorem (soundness)

• $(2^{\Sigma^*}, \cup, \cdot, *, \emptyset, \{\varepsilon\})$ forms the full language KA over Σ , where

$$X \cdot Y = \{vw \mid v \in X \land w \in Y\}$$
$$X^* = \bigcup_{i \ge 0} X^i$$

and $X^0 = \{\varepsilon\}, \quad X^{i+1} = XX^i$

any subalgebra forms a language KA

Regular Language Kleene Algebras

definition

KA morphism $L: T_{\mathsf{KA}}(\Sigma) \to 2^{\Sigma^*}$ generates regular languages over Σ :

 $L(0) = \emptyset \qquad L(1) = \{\varepsilon\} \qquad L(a) = \{a\} \text{ for } a \in \Sigma$ $L(s+t) = L(s) \cup L(t) \qquad L(s \cdot t) = L(s) \cdot L(t) \qquad L(t^*) = L(t)^*$

theorem (soundness)

- regular languages over Σ form KA
- \circ in particular KA \vdash *s* = *t* ⇒ *L*(*s*) = *L*(*t*) for all *s*, *t* ∈ *T*_{KA}(Σ)

Completeness of Kleene Algebra

theorem [Kozen] KA $\vdash s = t \Leftrightarrow L(s) = L(t)$ for all $s, t \in T_{KA}(\Sigma)$

consequences

 $\,\circ\,$ regular languages over Σ are generated freely by Σ in variety of KA

- KA axiomatises equational theory of regular expressions (as induced by regular language identity)
- equational theory of KA decidable (by automata)

automata as matrices



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theorem

- let $M_n(K)$ denote $n \times n$ matrices over Kleene algebra K
- let Z_n and I_n be $n \times n$ zero and identity matrix
- then $(M_n(K), +, \cdot, *, Z_n, I_n)$ forms Kleene algebra

star



$$M = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \qquad \qquad M^* = \begin{pmatrix} f^* & f^*bd^* \\ d^*cf^* & d^* + d^*cf^*bd^* \end{pmatrix}$$

for $f = a + bd^*c$

partition larger matrices into submatrices with squares along diagonal

Example

in previous example split

$$\begin{pmatrix} a+b & a & 0 \\ 0 & 0 & a \\ 0 & 0 & 0 \end{pmatrix}$$

and first compute

 $\begin{pmatrix} 0 & a \\ 0 & 0 \end{pmatrix}^*$

now f = 0 + 0 = 0, thus solution is

 $\begin{pmatrix} 1 & a \\ 0 & 1 \end{pmatrix}$

now compute f for the 3 \times 3-matrix

$$f = (a + b) + \begin{pmatrix} a & 0 \end{pmatrix} \begin{pmatrix} 1 & a \\ 0 & 1 \end{pmatrix} \begin{pmatrix} 0 \\ 0 \end{pmatrix} = a + b$$

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Example

for the other parts of the star matrix we obtain

$$(a+b)^{*} \begin{pmatrix} a & 0 \end{pmatrix} \begin{pmatrix} 1 & a \\ 0 & 1 \end{pmatrix} = (a+b)^{*} \begin{pmatrix} a & aa \end{pmatrix} = ((a+b)^{*}a \quad (a+b)^{*}aa)$$
$$\begin{pmatrix} 1 & a \\ 0 & 1 \end{pmatrix} \begin{pmatrix} 0 & 0 \end{pmatrix} (a+b)^{*} = \begin{pmatrix} 0 \\ 0 \end{pmatrix}$$
$$\begin{pmatrix} 1 & a \\ 0 & 1 \end{pmatrix} + \begin{pmatrix} 1 & a \\ 0 & 1 \end{pmatrix} \begin{pmatrix} 0 \\ 0 \end{pmatrix} (a+b)^{*} (a \quad 0) \begin{pmatrix} 1 & a \\ 0 & 1 \end{pmatrix} = \dots = \begin{pmatrix} 1 & a \\ 0 & 1 \end{pmatrix}$$
this yields

$$\left(\begin{array}{ccc} a+b & a & 0 \\ 0 & 0 & a \\ 0 & 0 & 0 \end{array}\right)^* = \left(\begin{array}{ccc} (a+b)^* & (a+b)^*a & (a+b)^*aa \\ 0 & 1 & a \\ 0 & 0 & 1 \end{array}\right)$$

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acceptance automaton [i, M, f] accepts language $L(i^T M^* f)$ example

$$(1 \quad 0 \quad 0) \begin{pmatrix} a+b & a & 0 \\ 0 & 0 & a \\ 0 & 0 & 0 \end{pmatrix}^* \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix}$$
$$= (1 \quad 0 \quad 0) \begin{pmatrix} (a+b)^* & (a+b)^*a & (a+b)^*aa \\ 0 & 1 & a \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix}$$
$$= (1 \quad 0 \quad 0) \begin{pmatrix} (a+b)^*aa \\ a \\ 1 \end{pmatrix}$$
$$= (a+b)^*aa$$

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lemma every M can be written, for boolean matrices J and M_a , as

$$M = J + \sum_{a \in \Sigma} a \cdot M_a$$

remark

this yields characteristic matrix equation

lemma

every t satisfies $t = i^T M^* f$ for some automaton of this form (replay one half of Kleene's theorem at matrix level)

theorem

the following constructions are theorems of Kleene algebra

- 1. for every $[i_1, M_1, f_1]$ exists ε -free $[i_2, M_2, f_2]$ with $i_1^T M_1^* f_1 = i_2^T M_2^* f_2$ (by simple matrix algebra)
- 2. for every such $[i_2, M_2, f_2]$ exists deterministic $[i_3, M_3, f_3]$ with $i_2^T M_2^* f_2 = i_3^T M_3^* f_3$ (by simulating subset construction)
- 3. for every such $[i_3, M_3, f_3]$ exists minimal $[i_4, M_4, f_4]$ with $i_3^T M_3^* f_3 = i_4^T M_4^* f_4$ (by dividing by Myhill-Nerode relation)

remark

(2) and (3) use coercion matrices to map $XM_i = M_{i+1}X$

theorem (completeness)

 $L(s) = L(t) \Rightarrow \mathsf{KA} \vdash s = t$

proof

 \circ let *s* and *t* denote the same regular language

• let $[i_s, M_s, f_s]$ and $[i_t, M_t, f_t]$ be minimal DFAs that satisfy

$$s = i_s^T M_s^* f_s \qquad t = i_t^T M_t^* f_t$$

 \circ then they are isomorphic, so there is permutation matrix P with

$$M_s = P^T M_t P \qquad i_s = P^T i_t \qquad f_s = P^T f_t$$

• thus, in KA,

$$s = i_s^T M_s^* f_s = (P^T i_t)^T (P^T M_t P)^* (P^T f_t) = \cdots = t$$

Relation Kleene Algebra

binary relation subset of $A \times A$

 $R = \{(a, b) \mid a, b \in A\}$

theorem (soundness)

• $(2^{A \times A}, \cup, \cdot, \emptyset, id, *)$ forms full relation Kleene algebra over A, where $id = \{(a, a) \mid a \in A\}$ $R \cdot S = \{(a, b) \mid \exists c.(a, c) \in R \land (c, b) \in S\}$ $R^* = \bigcup_{i \ge 0} R^i$ (reflexive transitive closure of R)

every subalgebra forms a relation Kleene algebra

Relation Kleene Algebra

theorem (completeness)

if s = t holds in class of all relation KAs, then $KA \vdash s = t$

proof

Cayley map $c(L) = \{(x, xy) \mid x \in \Sigma^*, y \in L\}$ shows that relation/language KAs have same equational theory

consequence

- o equational theory of relation KA is decidable via automata
- this makes KA interesting for program construction/verification

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Beyond Equations

quasivariety of KA

undecidable (uniform word problem for semigroups)

quasivariety of regular expressions

KA does not work

- $x^2 = 1 \Rightarrow x = 1$ holds in language KA
- but not for relation $R = \{(0, 1), (1, 0)\}$, which form KA (with $\{(0, 0), (1, 1)\}$, \emptyset , etc.)

program construction/verification requires reasoning under assumptions

Path Kleene Algebras

paths in digraphs

- finite sequences of states from digraph G = (V, E) related by edges
- \circ empty path ε

path product

glue paths on initial/final state

$$\sigma. \mathbf{p} \cdot \mathbf{p}. \sigma' = \sigma. \mathbf{p}. \sigma' \qquad \sigma. \mathbf{p} \cdot q. \sigma' \quad \text{undefined}$$

powerset lifting

- $\circ \ P_1 \cdot P_2 = \{ \pi_1 \cdot \pi_2 \mid \pi_1 \in P_1, \pi_2 \in P_2, \pi_1 \cdot \pi_2 \text{ defined} \}$
- other operations as usual

theorem (suitable) sets of paths form path Kleene algebras

Trace Kleene Algebras

traces alternating sequence $p_0 a_0 p_1 a_1 p_2 \dots p_{n-2} a_{n-1} p_{n-1} \in (P \cdot A)^* \cdot P$ trace product $\sigma.p \cdot p.\sigma' = \sigma.p.\sigma'$ $\sigma.p \cdot q.\sigma'$ undefined powerset lifting $\circ T_1 \cdot T_2 = \{\tau_1 \cdot \tau_2 \mid \tau_1 \in T_1, \tau_2 \in T_2, \tau_1 \cdot \tau_2 \text{ defined}\}$ $\circ \text{ other operations as usual}$

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theorem

(suitable) sets of traces form trace Kleene algebras

Relationship Between Models

relationship

essentially by forgetting structure in trace algebras

- path/language Kleene algebras forget actions/propositions
- relation Kleene algebras forget everything between endpoints

theorem

 $\circ~$ (equational) properties are inherited by (relations), paths, languages

o equational theories are all the same

Other Models

other models

- matrices (as we have seen)
- o formal power series (as we will see)
- tropical (min-plus) semiring $(N_{\infty}, \min, +, \infty, 0, *)$ forms Kleene algebra if $n^* = 0$ for all $n \in N_{\infty}$

tropical semirings

- applications in graph algorithms, combinatorial optimisation, internet routing
- this would require another lecture series. . .
- max-plus semiring cannot be expanded to Kleene algebra

we have implemented all these models in Isabelle

Kleene Algebras and Sequential Programs

program analysis

- o reason about actions and propositions/states
- propositions can be tests or assertions

relational semantics

- relations model i/o-behaviour of programs on state spaces
- elements $p \leq 1$ represent sets of states/propositions
 - \triangleright *px* yields all *x*-transitions that start from states in *p*
 - \triangleright xp, by opposition, yields all x-transitions that end in states in p
- these element form boolean subalgebras
 (join is +, meet is ·, 0 is least and 1 greatest element)
- o they can be used as tests or assertions in relational semantics

Kleene Algebras with Tests

abstraction

use KA for actions and BA (test algebra) for propositions

definition [Manes/Kozen]

two-sorted structure $(K, B, +, \cdot, \neg, 0, 1, *)$

- BA $(B, +, \cdot, \neg, 0, 1)$ embedded into K
- K models actions, B tests/assertions
- partial operation \neg defined on subalgebra B

Models of KAT

relation KAT

- binary relations form KATs
 - ▷ test algebra formed by subsets of *id*
 - these subidentites are isomorphic to sets of states
- every relation KAT is isomorphic to relation KA
- hence equational theory of relation KAT is still PSPACE-complete

guarded string KAT

- essentially trace KAT
- \circ *P* formed by atoms of free BA generated by finite set *G*
- guarded strings (and traces) form words over enlarged alphabet
- this implies completeness of KAT for guarded regular languages

KAT and Imperative Programs

algebraic program semantics while programs (without assignment):

> abort = 0 skip = 1 x; y = xyif p then x else y fi = $px + \neg py$ while p do x od = $(px)^* \neg p$

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Quantales

definition

• quantale is structure (Q, \leq, \cdot) where

- ▷ (Q, \leq) is complete lattice
- ▷ (Q, \cdot) is semigroup
- $\triangleright \ x \cdot \sum_{i \in I} y_i = \sum_{i \in I} (x \cdot y_i) \text{ and } (\sum_{i \in I} x_i) \cdot y = \sum_{i \in I} (x_i \cdot y)$
- o quantale is unital if its semigroup reduct is a monoid

properties

- $\circ~$ every (unital) quantale is a KAT with $x^* = \sum_{i \geq 0} x^i$
- · least fixpoints of continuous functions exist and can be iterated

Quantales

definition a Galois connection between posets (A, \leq_A) and (B, \leq_B) is a pair of functions $f : A \to B$ (lower adjoint), $g : B \to A$ (upper adjoint) such that

 $f \ x \leq_B y \Leftrightarrow x \leq_A g \ y$

theorem

every continuous function between quantales has an upper adjoint

example

- $\circ \lambda y.x \cdot y$ is continuous; it has upper adjoint $\lambda y.x \rightarrow y$
- $\lambda y.y \cdot x$ is continuous; it has upper adjoint $\lambda y.y \leftarrow x$
- KA with both of these residuals is called action algebra

adjunctions are studied in detail in Roy's lectures
Uniform Model Construction

observation

o many interesting models are (power set) lifting of simple structure

$$A \cdot Y = \{x \cdot y \mid x \in X \land y \in Y\}$$

$$B \circ S = \{(a, b) \mid \exists c. (a, c) \in R \land (c, b) \in S\}$$

convolution

for partial semigroup S, quantale Q and $f, g: S \rightarrow Q$,

$$(f \otimes g) x = \sum_{x=y \cdot z} f y \odot g z$$

theorem

• for (S, \cdot) partial SG and (Q, \leq, \odot) quantale, (Q^S, \leq, \otimes) is quantale

 \circ infs/sups lift pointwise, \odot by convolution

Uniform Model Construction

languages $(\Sigma^*,\cdot) \text{ and } (\mathbb{B},\leq,\sqcap) \text{ lift to language quantale with language product}$

$$(X \cdot Y) w = \sum_{w=u \cdot v} X u \sqcap Y v$$

relations partial SG (S^2, \cdot) with

$$(a,b)\cdot(c,d)=egin{cases} (a,d) & ext{if } b=c\ ot & ext{otherwise} \end{cases}$$

lifts to relational quantale with relational composition

$$(R \cdot S) (a,b) = \sum_{(a,b)=(a,c) \cdot (c,b)} R (a,c) \sqcap S (c,b)$$

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Uniform Model Construction

theorem

for alphabet Σ and Kleene algebra K the function space K^{Σ^*} forms a Kleene algebra

remarks

• functions $f: \Sigma^* \to K$ are called (formal/rational) power series in formal language theory

- hence power series into KAs form KAs
- o the previous slides generalise this construction

Literature

- o on KA
 - Conway, Regular Algebras and Finite Machines
 - ▷ Kozen, A Completeness Theorem for Kleene Algebras and the Algebra of Regular Events
- on KAT
 - ▶ Kozen, Kleene Algebra with Tests
 - ▶ Kozen, On Hoare Logic and Kleene Algebra with Tests
- misc
 - Rosenthal, Quantales and Their Applications
 - ▶ Gondran, Minoux, Graphs, Dioids and Semirings
 - Berstel Reutenauer, Rational Series and Their Languages
 - ▶ Ésik, Kuich, Modern Automata Theory
 - Dongol, Hayes, Struth, Convolution, Separation and Concurrency

▷ Armstrong, Struth, Weber, *Programming and Automating Mathematics in the Tarski-Kleene Hierarchy*

Exercises

- $1. \ \mbox{prove the following facts in Kleene algebra}$
 - (a) $(x + y)^* = x^*(yx^*)^*$, (b) $xy \le yz \Rightarrow x^*y \le yz^*$, (c) $x^* = (x^{n+1})^* \sum_{i=0}^n x^i$ for all $n \in \mathbb{N}$.
- 2. show that for every regular expression t there is an automaton [i, M, f] such that $t = i^T M^* f$
- 3. prove that the following expressions are equivalent in KAT.
 - (a) $px \le xq$, (b) $px \neg q = 0$, (c) px = pxq.
- 4. show that the star unfold and induction laws of KA hold in every quantale.
- 5. prove that every continuous function between complete lattices has an upper adjoint.

A Brief Introduction to Isabelle

– Lecture II –



Isabelle is

- o a generic theorem proving environment
 - ▶ a formal specification language for mathematical theories
 - ▶ an interactive theorem prover based on a logical calculus
- o developed at the universities of Cambridge, München, Paris-Sud

- about 25 years old
- o used by computer scientists and mathematicans world wide

Isabelle is

- o a joy because it sometimes makes mathematics easy
- o a pain because it sometimes makes mathematics hard

specific characteristics

- Isabelle is an LCF-style theorem prover
- written in the functional programming language ML
- o it has a small logical core and is therefore trustworthy
- o it has also stood the test of time
- the user owns the means of production
- Isabelle assists users in formalising proofs
- but aims at high level of proof automation

HOL

- Isabelle offers different logics for theorem proving
- the most popular one is Isabelle/HOL
- it is based on classical typed higher-order logic
- it supports reasoning with sets, inductive sets, recursive functions

almost everything you can write as a mathematician you can write in Isabelle

... almost everything:

- partially defined objects can be difficult to implement
 partial functions, matrices, categories, allegories...
- $\circ\,$ objects that are not recursively defined as well
 - ▷ graphs, automata, networks, ...

workflow

- two user interfaces
 - Isabelle jEdit
 - Proof General (probably outdated)
- o four modes of proof
 - interactive with natural deduction rules
 - automated with built-in provers, simplifiers, tactics
 - ▶ automated with external first-order theorem provers: sledgehammer
 - interactive with proof-scripting language lsar
- counterexample generators: nitpick/quickcheck
- type classes/locales allow building mathematical hierarchies
- large libraries of mathematical components have been implemented

o excellent documentation helps users

users

- main applications in program verification/correctness
- o increasing interest by mathematicians

alternatives

• Coq offers some advantages for programming mathematics

- Agda is popular with type theorists
- Mizar provides large mathematical libraries
- HOL is quite similar to Isabelle

This Lecture

overview

- 1. Isabelle's natural deduction system
- 2. programming and proving with numbers and lists
- 3. formalising mathematical structures and hierarchies
- 4. formalising KA and KAT

—Demo—

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Some Isabelle Classes

```
class dioid = semiring +
  assumes add_idem: "x + x = x"
```

```
subclass (in dioid) join_semilattice
by unfold_locales (auto simp add: add.commute add.left_commute)
```

o defines dioid as idempotent semiring

- o shows that every dioid is a join semilattice
- proof obligations are dictated by Isabelle

Some Isabelle Classes

```
lemma star_cosim: z \cdot x \leq y \cdot z \longrightarrow z \cdot x^* \leq y^* \cdot z
proof
   assume z \cdot x \leq y \cdot z
   hence y^* \cdot z \cdot x \leq y^* \cdot y \cdot z
   by (metis mult_isol mult_assoc)
   also have ... \leq y^* \cdot z
   by (metis mult_isor star_1r)
   finally have z + y^* \cdot z \cdot x \leq y^* \cdot z
   by (metis add_lub_var mult_1_left mult_isor star_ref)
   thus ?thesis
   by (metis star_inductr)
   qed
```

- o textbook style proof obtained with Isar
- o individual lines verified with sledgehammer
- sledgehammer proofs reconstructed with metis

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Some Isabelle Classes

```
class dioid_tests = dioid + comp_op +
assumes test_one: "n n 1 = 1"
and test_mult: "n n (n n x · n n y) = n n y · n n x"
and test_mult_comp: "n x · n n x = 0"
and test_de_morgan: "n x + n y = n (n n x · n n y)"
```

abbreviation test_operator :: "'a \Rightarrow 'a" ("t_" [100] 101) where "t x \equiv n (n x")

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class kat = kleene_algebra + dioid_tests

Conclusion

- we made the first steps with Isabelle/HOL
- o sometimes we needed to program mathematical objects
- sometimes the automated provers were surprisingly good

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sometimes we struggled to prove simple facts

Literature

papers

- Isabelle documentation
- ▷ Armstrong, Struth, Weber, *Programming and Automating Mathematics in the Tarski-Kleene Hierarchy*
- Armstrong, Gomes, Struth, Algebras for Program Correctness in Isabelle/HOL
- Armstrong, Gomes, Struth, Algebraic Principles for Rely-Guarantee Style Concurrency Verification Tools
- mathematical components
 - Armstrong, Struth, Weber, Kleene Algebra, AFP
 - Armstrong, Gomes, Struth, Kleene Algebra with Tests and Demonic Refinement Algebra, AFP

Exercises

use Isabelle for the following tasks

- 1. prove or refute the following facts by using natural deduction
 - (a) $(p \land q) \rightarrow r \dashv p \rightarrow (q \rightarrow r)$, (b) $\exists x. \forall y. P(x, y) \vdash \forall y. \exists x. P(x, y)$,
 - (c) $\forall v.\exists x.P(x,v) \vdash \exists x.\forall v.P(x,v)$.
- 2. prove the following facts about Kleene algebra.

(a) $(x + y)^* = x^*(yx^*)^*$, (b) $xy \le yz \Rightarrow x^*y \le yz^*$, (c) $x^* = (x^{n+1})^* \sum_{i=0}^n x^i$.

3. prove that the following expressions are equivalent in KAT.

(a)
$$px \le xq$$
,
(b) $px \neg q = 0$,
(c) $px = pxq$.

Construction/Verification Tools for Sequential Programs

- Lecture III -

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Verification Tool Outline

KAT	relational KAT	relational KAT with store
control flow	abstract data flow	concrete data flow
propositional Hoare logic	relational verification conditions	verification conditions from Hoare logic

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Verification Tool Outline

approach

- $1. \ \mbox{use KAT}$ as abstract algebraic semantics for while-programs
- 2. define validity of Hoare triples in KAT
- 3. derive rules of Hoare logic without assignment in KAT
- 4. derive assignment rule in relation KAT extended by store
- 5. use Isabelle polymorphism to integrate arbitrary data domains
- 6. write tactic to transform KAT/Hore logic into verification conditions
- 7. verify programs

tool correct by construction

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Hoare Triples in KAT

validity of Hoare triple

```
\vdash \{p\} \times \{q\} \iff px \neg q = 0
```

intuition (partial correctness)

if program x is executed from state where p holds and if x terminates, then q must hold in state where x terminates

in relation KAT

$$\begin{aligned} \forall s, s'.(s, s') \notin px \neg q \\ \Leftrightarrow \forall s, s'. \neg ((s, s) \in p \land (s, s') \in x \land (s', s') \in \neg q) \\ \Leftrightarrow \forall s, s'. ((s, s) \in p \land (s, s') \in x) \Rightarrow (s', s') \in q \end{aligned}$$

Propositional Hoare Logic

propositional Hoare logic means Hoare logic without assignment rule

theorem [Kozen]

inference rules of PHL derivable in KAT

 $\vdash \{p\} \operatorname{skip} \{p\}$ $p \le p' \land q' \le q \land \vdash \{p'\} \times \{q'\} \implies \vdash \{p\} \times \{q\}$ $\vdash \{p\} \times \{r\} \land \vdash \{r\} y \{q\} \implies \vdash \{p\} \times ; y \{q\}$ $\vdash \{pb\} \times \{q\} \land \vdash \{p\neg b\} y \{q\} \implies \vdash \{p\} \text{ if } b \text{ then } x \text{ else } y \text{ fi } \{q\}$ $\vdash \{pb\} \times \{p\} \implies \vdash \{p\} \text{ while } b \text{ do } x \text{ od } \{\neg bp\}$

Store and Assignments

store in Isabelle

- store S implemented as record of program variables
- generic for any type of data (KAT/relation KAT polymorphic)
- each variable has retrieve/update function
- state s is element of store

assignment

$$('x := e) = \{(s, x_update \ s \ e) \mid s \in S\}$$

theorem

all inference rules of HL derivable in relation KAT with store

$$P \subseteq Q[e/'x] \Rightarrow \vdash \{P\} ('x := e) \{Q\}$$

Verification Condition Generation

Hoare logic

- one structural rule per program construct
- programmed as hoare tactic in Isabelle
- o usually blasts away entire control structure

derivable rules

 $p \le p' \land \vdash \{p'\} \times \{q\} \Rightarrow \vdash \{p\} \times \{q\}$ $p \le i \land \neg pi \le q \land \vdash \{ib\} \times \{i\} \Rightarrow \vdash \{p\} \text{ while } b \text{ inv } i \text{ do } x \text{ od } \{q\}$

Verification Tool

control flow

- Isabelle libraries for KAT include PHL
- hoare tactic generates verification conditions automatically from HL

data flow

- o modelled generically in relation KAT (with store)
- shallow embedding of simple while-language
- analysed with Isabelle's provers
- o functional data types often impersonate imperative data structures
- o could use data refinement as justification...

— demo —

Refinement KAT

definition refinement KAT is KAT expanded by specification statement [,] and axiom

 $\vdash \{p\} \ x \ \{q\} \Leftrightarrow x \le [p,q]$

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theorem $(2^{A \times A}, B, \cup, \circ, [_, _],^*, \neg, \emptyset, id)$ forms rKAT with $[P, Q] = \bigcup \{R \subseteq A \times A \mid \vdash \{P\} \ R \ \{Q\}\}$

Simple Refinement Calculus

theorem

Morgan's refinement laws derivable in rKAT ($\sqsubseteq = \ge$)

$$p \leq q \Rightarrow [p,q] \sqsubseteq \mathsf{skip}$$

$$p' \leq p \land q \leq q' \Rightarrow [p,q] \sqsubseteq [p',q']$$

$$[0,1] \sqsubseteq x$$

$$x \sqsubseteq [1,0]$$

$$[p,q] \sqsubseteq [p,r]; [r,q]$$

$$[p,q] \sqsubseteq \mathsf{if} \ b \ \mathsf{then} \ [bp,q] \ \mathsf{else} \ [\neg bp,q] \ \mathsf{fi}$$

$$[p,\neg bp] \sqsubseteq \mathsf{while} \ b \ \mathsf{do} \ [bp,p] \ \mathsf{od}$$

no frame laws for local variables

Simple Refinement Calculus

theorem assignment laws derivable in relation rKAT

$$P \subseteq Q[e/'x] \Rightarrow [P, Q] \sqsubseteq ('x := e)$$
$$Q' \subseteq Q[e/'x] \Rightarrow [P, Q] \sqsubseteq [P, Q']; ('x := e)$$
$$P' \subseteq P[e/'x] \Rightarrow [P, Q] \sqsubseteq ('x := e); [P'; Q]$$

— demo —

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Verification/Refinement Tool

conclusion

 $\circ\,$ refinement tool built in one afternoon from verification tool

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- o other refinement rules easily derivable
- more construction/verification examples in libraries

Programs with Recursion

definition a test quantale is a quantale that is also a test semiring

theorem

for isotone function $f : Q \to Q$ on test quantale Q, the inference rules of PHL and the following recursion rule are derivable

 $(\forall x \in Q. \vdash \{p\} \ x \ \{q\} \Rightarrow \vdash \{p\} \ f \ x \ \{q\}) \Rightarrow \vdash \{p\} \ \mu f \ \{q\}$

proof

use Knaster-Tarski theorem

Programs with Recursion

programming syntax

letrec f in S end = $\mu(\lambda f. S)$

example

letrec Fac in
 if 'x = 0 then
 'y := 1
 else
 'x := 'x - 1;
 Fac;
 'x := 'x + 1;
 'y := 'y 'x
 fi
end

Programs with Recursion

remarks

- additional consequence rule (Kleymann's rule) is needed for relative completeness
- o this allows verification of parameterless recursive programs
- o mutual recursion is currently not implemented
- quantales also support powerful rules for program construction
 - refinement calculi with recursion laws can be derived
 - ▶ transformation techniques (e.g. fixpoint fusion) are available
 - transition from imperative to functional programming requires types

— demo —

Predicate Transformer Algebras

predicate transformers

• associate state transformer $f_R : A \to 2^B$ with relation $R \subseteq A \times B$

 $f_R a = \{b \mid (a, b) \in R\}$

 $\circ~$ lift to predicate transformer $|R]:2^B\rightarrow 2^A$

 $[R]Q = \{x \mid f_R \ x \subseteq Q\}$

◦ lift to $\langle R | P = \bigcup \{ f_R \ x \mid x \in P \}$ of type $2^A \rightarrow 2^B$

intuition

• $\langle R|P / |R\rangle P$ model image/preimage of X under P

• boxes are De Morgan duals of diamonds: $|R|P = \neg |R\rangle \neg P$

Predicate Transformer Algebras

modal KA

- KAT plus functions $d: K \rightarrow B$, $r: K \rightarrow B$ satisfying 3 axioms each
- o define modal operators/predicate transformers

 $\langle x|p = r(px)$ $|x\rangle p = d(xp)$ $[x|p = \neg \langle x|\neg p$ $|x]p = \neg |x\rangle \neg p$

• define Hoare triple

 $\vdash \{p\} \ x \ \{q\} \ \Leftrightarrow \ \langle x | p \leq q \ \Leftrightarrow \ p \leq |x] q$

- assignment statement
 - ▷ as before $('x := e) = \lambda x. (x_update \ s \ e)$
 - ▷ lifted to pt as |('x := e)]
- HL/refinement laws once more derivable
Domain Semirings

definition domain semiring is dioid S expanded by $d: S \rightarrow S$ that satisfies

 $x + d(x)x = d(x)x \qquad d(xy) = d(xd(y)) \qquad d(x + y) = d(x) + d(y)$ $d(x) + 1 = 1 \qquad d(0) = 0$

theorem domain algebra $(d(S), +, \cdot, 0, 1)$ is bounded DL

modalities

$$|x\rangle y = d(xy)$$
 $\langle x|y = r(yx)$

yields distributive lattice with operators (range r opposite of d)

Antidomain Semirings

definition antidomain semiring is semiring S expanded by $': S \rightarrow S$ that satisfies

$$x'x=0$$
 $(xy)' \le (xy'')'$ $x'' + x' = 1$

domain algebra

- domain definable as d(x) = x'' (Boolean complement)
- subalgebra d(S) is maximal BA in [0, 1]

consequence

general way of defining modal logics

- \circ we have $|x\rangle 0 = 0$ and $|x\rangle (p+q) = |x\rangle p + |x\rangle q$
- this yields BAOs [JónssonTarski]
- every BAO can be obtained that way

Modalities, Symmetries, Dualities

demodalisation

 $|x\rangle p \leq q \iff \neg qxp \leq 0 \qquad \langle x|p \leq q \iff px \neg q \leq 0$

dualities

- de Morgan: $|x]p = \neg |x\rangle \neg p$ $[x|p = \neg \langle x|\neg p$
- \circ opposition: $\langle x |, [x] \Leftrightarrow |x \rangle, |x]$

symmetries

- conjugation: $(|x\rangle p)q = 0 \iff p(\langle x|q) = 0$
- Galois connection: $|x\rangle p \leq q \iff p \leq [x|q]$

Models

trace model

$$p_0 a_0 p_1 a_1 p_2 \dots p_{n-2} a_{n-1} p_{n-1}, \quad p_i \in P, a_i \in A$$

theorem

• powerset algebra $2^{(P,A)^*}$ forms (full trace) MKA where

$$|T\rangle Q = \{p \mid p.\sigma.q \in T \text{ and } q \in Q\}$$

subalgebras form trace MKAs

other models

- o path, language, relation MKAs can again be obtained by forgetting
- o in relation MKAs, sets are subidentities

MKA and Hoare Logic

fundamental equation of Hoare logic

syntax and semantics related by Galois connection

 $\vdash \{p\}x\{q\} \iff \langle x|p \leq q \iff p \leq |x]q \iff p \leq wlp(x,q)$

consequence

- $wlp(x,q) = \sum \{p \mid \{p\}x\{q\}\}$ in quantale
- hence wlp(x, p) is indeed weakest liberal precondition
- wlp/predicate transformer semantics is simply calculus of MKA (e.g. ⊢ {wlp(x, q)}x{q} is cancellation law of Galois connection)

theorem

inference rules of PHL derivable in MKA

MKA and Hoare Logic

consequence

- o simple algebraic approach to predicate transformers
- $\circ\,$ strong relationship to PDL/BAO
- o simple equational soundness/completeness proofs for Hoare logic

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- valid in variety of models
- easy to implement (in Isabelle)

Decidability of PHL

Hoare formulas quasi-identities in modal Kleene algebra

 $\langle x_1 | p_1 \leq q_1, \ldots, \langle x_n | p_n \leq q_n \Rightarrow \langle a_0 | p_0 \leq q_0$

PSPACE decision procedure

1. demodalisation: rewrite as equivalent quasi-identity in KAT

 $p_1x_1 \neg q_1 \leq 0, \ldots, p_nx_n \neg q_n \leq 0 \Rightarrow p_0x_0 \neg q_0 \leq 0$

- 2. hypothesis elimination: reduce to equivalent identity $s' \leq t'$
- 3. apply decision procedure for equational theory of KAT

Literature

- algebra and HL
 - ▶ Kozen, On Hoare Logic and Kleene Algebra with Tests
 - Desharnais, Struth, Internal Axioms for Domain Semirings
 - ▶ Möller, Struth, Algebras of Modal Operators and Partial Correctness
- refinement calculi
 - Morgan, Programming from Specifications
 - Back, von Wright, Refinement Calculus: A Systematic Introduction
- Isabelle implementations
 - ▷ Guttmann, Struth, Weber, Automating Algebraic Methods in Isabelle
 - Armstrong, Gomes, Struth, Algebras for Program Correctness in Isabelle/HOL
 - ▷ Armstrong, Gomes, Struth, Lightweight Program Construction and Verification Tools in Isabelle/HOL

▷ Armstrong, Gomes, Struth, Building Program Construction and Verification Tools from Algebraic Principles



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- $1. \ \mbox{derive the inference rules of PHL in KAT}$
- 2. derive Morgan's refinement laws in rKAT
- 3. derive the recursion rule in a test quantale
- 4. derive the inference rules of PHL in MKA

Extensions

– Lecture IV –



Local Reasoning with Separation Logic

MKA

control flow

propositional Hoare logic with frame rule predicate transformers over assertion quantale

abstract data flow

wlp-based verification conditions

predicate tansformers over store/heap

concrete data flow

Hoare logic with frame rule

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Algebra of Separation Logic

assertion algebra

- $\circ\,$ so far: assertions form BA in KAT/quantale
- now: include separating conjunction

algebra of programs

- o so far: relational semantics for KAT
- o now: predicate transformer semantics/MKA much simpler

what is separating conjunction?

Assertion Quantale of Separation Logic

separating conjunction for resource semigroup (S, \cdot) and quantale \mathbb{B}

 $p * q = \{y \cdot z \in S \mid y \in p \land z \in q\}$ $(p * q) x = \sum_{x=y \cdot z} p y \sqcap q z$

assertion algebra $(\mathbb{B}^{S}, \leq, *)$ forms commutative quantale

instances

- o multisets form free commutative SGs
- heaplets $h: A \rightarrow B$ form partial commutative SGs with

$$h_1 \cdot h_2 = egin{cases} h_1 \cup h_2 & ext{ if } d(h_1) \cap d(h_2) = \emptyset \ ot & ext{ otherwise } \end{cases}$$

Assertion Quantale of Separation Logic

extended separating conjunction for store S, heap H and quantale \mathbb{B} define

$$(p*q)$$
 s $h=\sum_{h=h_1\cdot h_2} p$ s $h_1\sqcap q$ s h_2

extended lifting

let S be set, H partial commutative SG and Q commutative quantale, then $Q^{S \times H}$ is commutative quantale

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Predicate Transformers over Assertions Quantale

 $\begin{array}{l} \mbox{definition} \\ \mbox{pt} \ |x] \mbox{ is local if } (|x]p) * q \leq |x](p * q) \qquad \mbox{(iff} \ |x] * |1] \leq |x]) \\ \end{array}$

theorem

the following function spaces form MKAs/distributive quantales

- conjunctive pts over assertion quantales
- local conjunctive pts over assertion quantales
 - Multiplication of pts is function composition
 - * is not lifted

theorem

- $\circ~$ each PHL rule equivalent to some MKA/quantale identity
- frame rule derivable iff pts local

$$\vdash \{p\} \times \{q\} \Rightarrow \vdash \{p * r\} \times \{q * r\}$$

Verification/Refinement Tool

- $\circ\,$ store/heap model from Isabelle libraries
- assignment/mutation rules derivable in model
- $\circ~$ specification statement $[p,q]=\sum\{|x]\mid p\leq |x]q\}$ in pt quantale
- specific refinement law $[p * r, q * r] \sqsubseteq [p, q]$ derivable
- o additional refinement laws for mutation

tool tested e.g. on linked list reversal

Verification/Refinement Tool

conclusion

- o algebraic foundations of separation logic
- inspired by abstract separation logic/BI quantale
- o uses formal power series/modal algebra
- supports verification/refinement
- $\circ~\mbox{PHL}$ as quantale fragment used for vc generation
- MKA yields dynamic separation algebra similar to PDL

Rely-Guarantee Based Concurrency Verification

rgKA

trace rgKA

control flow with r-g interface

> propositional calculus

abstract data flow with interference

transition trace verification conditions

trace rgKA over store

concrete data flow with interference

r-g calculus

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Concurrency Verificaton

intuition

- o one central issue is interference
- another is compositionality
- o interference interferes with compositionality
- traces/languages with interleaving form standard model

rely-guarantee method

- o compositional Hoare logic for shared variable concurrency
- based on interleaving semantics
- relies describe effect of environment on process
- guarantees describe effect of process on environment

what is the algebra of rely-guarantee?

Rely-Guarantee Kleene Algebra

definition bi-Kleene algebra is structure $(K, +, \cdot, ||, 0, 1, *, (*))$ where $\circ (K, +, \cdot, 0, 1, *)$ is KA

 $\circ~(\textit{K},+,||,0,1,^{(*)})$ is commutative KA

models

- shuffle (regular) languages
- o series-parallel rational pomset languages

Rely-Guarantee Kleene Algebra

definition

rely-guarantee KA is structure $(K, I, +, \Box, \cdot, \parallel, 0, 1, *)$ where

- $(K, +, \sqcap)$ is distributive lattice
- $(K, +, \cdot, ||, 0, 1, *)$ is bi-KA (no concurrent star)
- $I \subseteq K$ is set of interference constraints with axioms

$$r \| r \le r$$
 $r \le r \| r'$ $r \| xy = (r \| x)(r \| y)$ $r \| x^+ \le (r \| x)^+$

consequences

$$1 \le r$$
 $r^* = rr = r = r ||r$ $r ||x^+ = (r||x)^+$

Rely-Guarantee Calculus

```
Hoare triples (Tarlecki-style)
```

 $\vdash \{x\} \ y \ \{z\} \Leftrightarrow xy \le z$

Jones quintuples

 $r,g \vdash \{p\} \times \{q\} \Leftrightarrow p(r||x) \leq q \land x \leq g$

theorem

rules of propositional rg-calculus derivable in rgKA, e.g.

 $\frac{r_1, g_1 \vdash \{p_1\} \times \{q_1\} \ g_1 \leq r_2 \ r_2, g_2 \vdash \{p_2\} \ y \ \{q_2\} \ g_2 \leq r_1}{r_1 \sqcap r_2, g_1 || g_2 \vdash \{p_1 \sqcap p_2\} \times || y \ \{q_1 \sqcap q_2\}}$

Breaking Compositionality

basic rgKA too compositional

- $x = y \Rightarrow x ||z = y ||z$ fails if x and y have different atomicity
- \circ map $\pi: K \to K$ prevents that $\pi(x) = \pi(y) \Rightarrow \pi(x||z) = \pi(y||z)$
- redefine Jones quintuples

$$r,g \vdash \{p\} \times \{q\} \Leftrightarrow \pi(p(r||x)) \leq \pi(q) \land x \leq q$$

theorem

rules of propositional rg-calculus still derivable

Finite Trace Model

transition/Aczel traces

 $\,\circ\,$ traces in $\mathsf{Tra}(\Sigma)$ built from pairs of letters from Σ

 $(\sigma_1, \sigma_1')(\sigma_2, \sigma_2')(\sigma_3, \sigma_3') \dots (\sigma_n, \sigma_n')$

• process controls (σ_i, σ'_i) , environment/rely controls $\sigma'_i)(\sigma_{i+1})$

• trace consistent if $\sigma'_i = \sigma_{i+1}$

interleaving breaks consistency

(a, b)(b, c) (a, b)(c, c)(a, b)(b, c)(b, c) (a, b)(b, c)(c, c)

Finite Trace Model

π in trace model

- let $Trc(\Sigma)$ be set of consistent Σ -traces
- then $\pi = \lambda X$. $X \cap \text{Trc}(\Sigma)$

interference constraints

- \circ r-gs represented as binary relations R
- lifted to singleton language $\langle R \rangle$ in obvious way
- set of interference constraints $I = \{r \mid \exists R. r = \langle R \rangle^*\}$

theorem $(\operatorname{Trc}(\Sigma), I, \cup, \cdot, ||, \emptyset, \{\varepsilon\}, *, \pi)$ forms rgKA

Verification Tool

additional concepts for programs/assertions

- \circ tests $P \subseteq \langle id \rangle$
- $P \cdot Q = P \cap Q$ requires closure conditions (stuttering, mumbling)

tool tested e.g. on findp example

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Verification of FINDP

$$\begin{split} f_A &:= \text{len}(\text{array}); \\ f_B &:= \text{len}(\text{array}); \\ \begin{pmatrix} i_A &= 0 \\ \text{while } i_A &< f_A \wedge i_A < f_B \ \{ \\ &\text{if } P(\text{array}[i_A]) \ \{ \\ &f_A &:= i_A \\ & \} \text{ else } \{ \\ &i_A &:= i_A + 2 \\ & \} \\ & \} \\ \end{pmatrix} \text{ else } \{ \\ &i_B &:= i_B + 2 \\ & \} \\ & \} \\ f &= \min(f_A, f_B) \end{split} \right|$$

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Verification of FINDP

postcondition

either we find least element or terminate at end of array

 $\pi(FINDP) \le \pi(end(leastP(f)) + end(f = |array|))$

relies/guarantees

- A guarantees that it only changes f_A in B
- B guarantees that it only changes f_B in A
- o these serve respectively as relies for the other process

verification

- very tedious
- needs tuning/optimisation

Verification Tool

conclusion

- o algebraic principles of rg-reasoning based on bi-KA
- transition trace model obtained from language KA
- o performance needs to be optimised
- current work on infinite traces and cyclic rg-conditions

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Literature

- separation logic
 - Hoare et al., On Locality and the Exchange Law for Concurrent Processes
 - Dongol, Hayes, Struth, Convolution, Separation and Concurrency
 - Dongol, Gomes, Struth, A Program Construction and Verification Tool for Separation Logic
 - ▷ Gomes, Struth, Dynamic Separation Algebra
- rely-guarantee
 - ▹ Hoare, Möller, Struth, Wehrman, Concurrent Kleene Algebra and its Foundations
 - Armstrong, Gomes, Struth, Algebraic Principles for Rely-Guarantee Style Concurrency Verification Tools
 - ▶ Laurence, Struth, Completeness Theorems for Bi-Kleene Algebras and Series-Parallel Rational Pomset Languages

General Conclusion

- o principled approach to program correctness tools in Isabelle
 - use algebra at control flow layer
 - b link with relation/trace/pt semantics and store
 - b derive Hoare logics or refinement calculi
- o all algebras used have decidable fragments
- sequential program verification works smoothly
- concurrency verification (still) more tedious
- o prototyping fast, simple, adaptable
- resulting tools lightweight

Formalisation in Isabelle

Archive of Formal Proofs

- o http://afp.sourceforge.net
- own contributions
 - Kleene algebra (> 100p)
 - relation algebra (> 30p)
 - \triangleright Kleene algebra with tests and demonic refinement algebra (> 50p)

- regular algebras (> 50p)
- modal Kleene algebra (Summer 2015)
- quantales (Summer 2015)

tool web sites

- o staffwww.dcs.shef.ac.uk/people/V.Gomes/refinement
- o github.com/vborgesfer/sep-logic
- o github.com/Alasdair/FM2014